

COPY

Accession No. 4600-0175.35

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 7-15-99

By: [Signature]

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Edwards, et al.

SERIAL No.: Not yet Assigned

FILED: Concurrently herewith

FOR: **SEQUENCE-DIRECTED DNA BINDING
MOLECULES COMPOSITIONS AND
METHODS**

EXAMINER: Unknown

ART UNIT: Unknown

Declaration Under 37 CFR §1.821

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, Linda R. Judge, declare and affirm as follows:

1. I am an agent for the applicant.
2. The floppy disc which accompanies this Declaration contains the required sequence listing.
3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

[Signature]

Linda R. Judge
Registration No. 42,702

Date: July 15, 1999

Correspondence Address:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306
Phone: (650) 324-0880

Attorney Docket No. 54600.8175.US03

Patent

Transmittal of Divisional Patent Application for Filing

Certification Under 37 C.F.R. §1.10 (if applicable)

EL 889 536 655 US

"Express Mail" Label Number

November 13, 2001

Date of Deposit

I hereby certify that this application, and any other documents referred to as enclosed herein are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Jennifer L. Mahoney

(Print Name of Person Mailing Application)



(Signature of Person Mailing Application)

COPY

Attorney Docket No. 4600-0175.20

INVENTORSHIP DECLARATION BY JOINT INVENTORS

I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEQUENCE-DIRECTED DNA BINDING MOLECULES COMPOSITIONS AND METHODS

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on June 7, 1995 as Application Serial No. 08/482,080 and was amended on February 9, 1999 (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to John F. Brady at (650) 324-0880. Address all correspondence to:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306-0850

INVENTORSHIP DECLARATION BY JOINT INVENTORS

PART A: INVENTOR INFORMATION AND SIGNATURE

Full name of FIRST inventor: **Cynthia A. Edwards**

Citizenship: **U.S.A.** Post Office Address: 2021 Oakley Avenue
Menlo Park, California 94025

Residence (if different):

Inventor's Signature:  Date: 2/17/99

Full name of SECOND inventor: **Charles R. Cantor**

Citizenship: **U.S.A.** Post Office Address: 11 Bay State Road #6
Boston, Massachusetts 02215

Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of THIRD inventor: **Beth M. Andrews**

Citizenship: **U.S.A.** Post Office Address: 15 Howard Road
Maynard, Massachusetts 01754


Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of FOURTH inventor: **Lisa M. Turin**

Citizenship: **U.S.A.** Post Office Address: P.O. Box 5691
Redwood City, California 94063

Residence (if different):

Inventor's Signature:  Date: 2-17-99

INVENTORSHIP DECLARATION BY JOINT INVENTORS

PART A: INVENTOR INFORMATION AND SIGNATURE

Full name of FIRST inventor: Cynthia A. Edwards

Citizenship: U.S.A. Post Office Address: 2021 Oakley Avenue
Menlo Park, California 94025

Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of SECOND inventor: Charles R. Cantor

Citizenship: U.S.A. Post Office Address: 11 Bay State Road #6
Boston, Massachusetts 02215

Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of THIRD inventor: Beth M. Andrews

Citizenship: U.S.A. Post Office Address: 15 Howard Road
Maynard, Massachusetts 01754

Residence (if different):

Inventor's Signature:  Date: 3/14/99

Full name of FOURTH inventor: Lisa M. Turin

Citizenship: U.S.A. Post Office Address: P.O. Box 5691
Redwood City, California 94063

Residence (if different):

Inventor's Signature: _____ Date: _____

INVENTORSHIP DECLARATION BY JOINT INVENTORS

Full name of FOURTH inventor: Kirk E. Fry

Citizenship: U.S.A Post Office Address: 2604 Ross Road
Palo Alto, California 94303

Residence (if different):

Inventor's Signature: Kirk E. Fry Date: 2/17/99

PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
---------	----------	-------------

PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.	Filing Date	Status	Patent No.
08/171,389	12/20/93	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned	5,578,444
08/123,936	09/17/93	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned	5,726,014
07/996,783	12/23/92	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned	5,693,463
07/723,618	06/27/91	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input checked="" type="checkbox"/> Abandoned	

COPY

Attorney Docket No. 4600-0175.35

INVENTORSHIP DECLARATION BY JOINT INVENTORS

I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEQUENCE-DIRECTED DNA BINDING MOLECULES COMPOSITIONS AND METHODS

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on July 15, 1999 as Application Serial No. 09/354,947 and was amended on 11/3/00 and 06/18/01.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

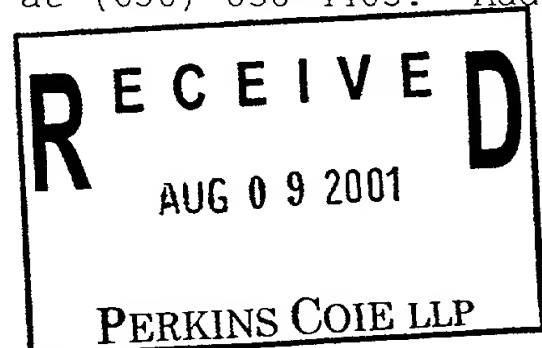
I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Larry W. Thrower at (650) 838-4405. Address all correspondence to:

Perkins Coie LLP
P.O. Box 2168
Menlo Park, CA 94026




INVENTORSHIP DECLARATION BY JOINT INVENTORS**PART A: INVENTOR INFORMATION AND SIGNATURE**Full name of FIRST inventor: Cynthia A. EdwardsCitizenship: U.S.A. Post Office Address: 2021 Oakley Avenue
Menlo Park, California 94025

Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of SECOND inventor: Charles R. CantorCitizenship: U.S.A. Post Office Address: 526 Stratford Court #E
Del Mar, CA 94014

Residence (if different):

Inventor's Signature:  Date: 7/29/01Full name of THIRD inventor: Beth M. AndrewsCitizenship: U.S.A. Post Office Address: 15 Howard Road
Maynard, Massachusetts 01754

Residence (if different):

Inventor's Signature: _____ Date: _____

Full name of FOURTH inventor: Lisa M. TurinCitizenship: U.S.A. Post Office Address: P.O. Box 5691
Redwood City, California 94063

Residence (if different):

Inventor's Signature: _____ Date: _____

INVENTORSHIP DECLARATION BY JOINT INVENTORSFull name of FOURTH inventor: Kirk E. FryCitizenship: U.S.A Post Office Address: 2604 Ross Road
Palo Alto, California 94303

Residence (if different):

Inventor's Signature: _____ Date: _____

PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
---------	----------	-------------

PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.	Filing Date		Status
<u>08/482,080</u>	<u>06/7/95</u>	<input checked="" type="checkbox"/>	Pending
<u>08/171,389</u>	<u>12/20/93</u>	<input checked="" type="checkbox"/>	Patented
<u>08/123,936</u>	<u>09/17/93</u>	<input checked="" type="checkbox"/>	Patented
<u>07/996,783</u>	<u>12/23/92</u>	<input checked="" type="checkbox"/>	Patented
<u>07/723,618</u>	<u>06/27/91</u>	<input checked="" type="checkbox"/>	Abandoned